

Docket No.  
4220-116 US

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**HANSENULA POLYMORPHA MUTANTS AND PROCESS FOR THE PREPARATION OF RECOMBINANT PROTEINS USING THE SAME**

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on March 4, 2000 as United States Application No. or PCT International Application Number PCT/KR00/00173 and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

<u>1999/7177</u>	<u>Korea</u>	<u>04/03/99</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u>2000/10743</u>	<u>Korea</u>	<u>03/03/00</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. Section 119( ) of any United States provisional application(s) listed below:

_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

**Bruce M. Collins, Reg. No. 20,066**  
**Ronald Gould, Reg. No. 28,299**  
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Full name of sixth inventor, if any <b>Moowoong KIM</b>	
Sixth inventor's signature <i>Moowoong Kim</i>	Date 2000. 12. 20
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Full name of seventh inventor, if any <b>Michael AGAPHONOV</b>	
Seventh inventor's signature <i>Michael Agaphonov</i>	Date <i>2000.12.20</i>
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Full name of eighth inventor, if any <b>Myungkuk KIM</b>	
Eighth inventor's signature <i>Myungkuk Kira</i>	Date <i>2000.12.20</i>
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<b>Seoul 134-033, Korea</b>	

Full name of ninth inventor, if any	
Ninth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of tenth inventor, if any	
Tenth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

# ASSIGNMENT

WHEREAS, Sangki Rhee, Euisung Choi, Hyunah Kang, Junghoon Sohn, Junghoon Bae, Moowoong Kim, Michael Agaphonov and Myungkuk Kim as assignors have invented certain improvements in **HANSENULA POLYMORPHA MUTANTS AND PROCESS FOR THE PREPARATION OF RECOMBINANT PROTEINS USING THE SAME** for which an application for United States Letters Patent Serial No. 09/674,617 has been filed by them on November 3, 2000 and whereas Korea Research Institute of Bioscience and Biotechnology, 52, Oun-dong, Yusong-ku, Taejon 305-333, Republic of Korea and Dong Kook Pharmaceutical Co., 997-8, Daechi-dong, Kangnam-ku, Seoul 135-280, Republic of Korea as assignees, are desirous of acquiring all right, title and interest in and to said invention and any Letters Patent that may be granted therefor.

NOW, THEREFORE, in consideration of One Dollar(s) (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, We, as assignors hereby sell, assign and set over to said assignees the entire right, title and interest for the United States and all other countries in and to said invention and the aforesaid application for a Letters Patent, all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States and all countries foreign, and the Commissioner of Patents is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignees herein, as assignees of the entire interest therein; and the undersigned for them and their legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignees or their assigns, to communicate to said assignees or their representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignees, their successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignees.

*Sangki Rhee*

Assignor: Sangki Rhee

Signed: December 18, 2000

*E. Choi*

Assignor: Euisung Choi

Signed: December 18, 2000

FOU-11-2616660

*Hyunah Kang*

Assignor: Hyunah Kang

Signed: December 19, 2000

*Junghoon Sohn*

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Signed: December 20, 2000

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